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Attorney Docket No. INXT 1017-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
MARK DAVIS et al. ) Art Unit: *Unknown*  
Application No. *Unassigned* )  
Filed: 27 June 2001 (Herewith) )  
Title: **Method and Apparatus for Incremental** )  
**Computation of the Accuracy of A** )  
**Categorization-By-Example System** )  
)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner of Patents  
Washington, D.C. 20231

Sir:

Listed on attached Form PTO-1449 is information known to applicant. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial the enclosed form PTO-1449 return it in accordance with MPEP §609.

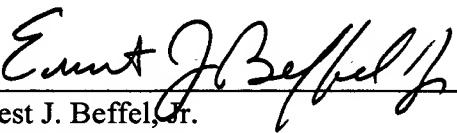
This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

X This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date  
-- OR --  
 (2) It is being filed within 3 months of entry of a national stage  
-- OR --

- (3) It is being filed before the mail date of the first Office Action on the merits.
- 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
  - a certification as specified in §1.97(e) is provided below; **or**
  - a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
  - A. a certification as specified in §1.97(e) is completed below; **and**
  - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
  - C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$ -0- and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (Docket No. INXT 1017-1). A duplicate copy of this authorization is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Ernest J. Beffel, Jr.  
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Date: 27 June 2001

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INFORMATION DISCLOSURE CITATION  PTO-1449		ATTY. DOCKET NO. INXT 1017-1		SERIAL NO.		
		APPLICANT: MARK DAVIS et al.				GROUP:
		FILING DATE: 27 JUNE 2001				
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
	Daniel P. Lopresti <i>A Comparison of Text-Based Methods for Detecting Duplication in Document Image Databases</i> Document Recognition and Retrieval VII (IS&T/SPIE Electronic Imaging 2000), January 2000, San Jose, CA					
	Narayanan Shivakumar and Hector Garcia-Molina <i>The SCAM Approach to Copy Detection in Digital Libraries</i> Department of Computer Science Stanford University Stanford, CA 94305 USA					
	William B. Frakes and Ricardo Baeza-Yates <i>Information Retrieval Data Structures &amp; Algorithms</i> pp. 19-71					
	Ian H. Witten et al. <i>Managing Gigabytes Compressing and Indexing Documents and Images</i> pp. 181-188					
	Fazli Can et al. <i>A Dynamic Cluster Maintenance System for Information Retrieval</i> SIGIR 1987 pp. 123-131					
	Fazli Can et al. <i>Concepts of the Cover Coefficient-Based Clustering Methodology</i> SIGIR 1985 pp. 204-211					
EXAMINER	DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.